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PATENT



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:  
HOWES, et al

Application No.: 09/954,507

Filed: September 12, 2001

For: METHOD AND APPARATUS FOR  
MAINTAINING CONNECTION STATE  
BETWEEN A CONNECTION  
MANAGER AND A FAILOVER DEVICE

Atty. Docket No.: CISCPO41C1

Examiner: Unassigned

Group: Unassigned

Date: October 1, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on Oct 1, 2001.

Signed: \_\_\_\_\_

*Pat Tate*  
Pat Tate

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§1.56 AND 1.97(c) BEFORE MAILING OF A FIRST OFFICE ACTION

Assistant Commissioner for Patents  
Washington, DC 20231

Dear Sir:

The references listed in the attached PTO Form 1449 may be material to examination of the above-identified patent application. Applicants submit the list of these references in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make these references of official record in this application. The above-identified application is a continuation of prior application U.S. Patent Application No. 09/107,261. This prior application is being relied upon for an earlier filing date under 35 U.S.C. § 120. Because

Attny Dkt No. CISCPO41C1

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the listed references were either cited by the PTO, or submitted to the PTO in the prior application, under 37 CFR § 1.98(d) Applicants submit that copies need not be provided.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

This Information Disclosure Statement is being filed within three (3) months of the filing date of the above-referenced application. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement. However, if it is determined that any fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 50-0685 (Order No. CISC041C1).

Respectfully submitted,

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**Information Disclosure  
Statement By Applicant**

(Use Several Sheets if Necessary)

**U.S. Patent Documents**

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
	A	5,371,852	Dec. 6, 1994	Attanasio, et al	395	200	Oct. 14, 1992
		5,546,452	Aug. 1996	Andrews et al	379	219	
	B	5,617,417	Apr. 1, 1997	Sathe, et al	370	394	Sept, 7, 1994
	C	5,668,986	Sept 1997	Nilsen et al	707	10	
	D	5,774,660	Jun 1998	Brendel et al	709	201	
	E	5,809,265	Sept, 1998	Blair, et al	345	339	
		5,819,020	Oct 1998	Beeler, Jr.	714	5	
	F	5,917,997	Jun 1999	Bell et al	714	4	
	G	5,918,017	Jun 1999	Attanasio, et al	709	224	
	H						
	I						
	J						
	K						

**Foreign Patent or Published Foreign Patent Application**

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
	L	WO 97/05727	Feb 2, 1997	PCT				x
	M							
	N							
	O							
	P							

**Other Documents**

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	R	
	S	
	T	
Examiner		Date Considered